### LAW OFFICES FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P. 1300 | Street, NW Washingt n. DC 20005

Telephone (202) 408-4000

Facsimile (202) 408-4400

#### FACSIMILE TRANSMITTAL

TO

Name:

PCT Legal Affairs

Diana Oleksa

George Dombroske

FROM

Name:

Charles E. Van Hom

Reg. No. 40,266

Firm:

**USPTO** 

(703) 308-6459

Phone No.:

Date:

Fax No.:

April 15, 2003

Subject:

Application No. 09/937,314

Phone No.: (202) 408-4072

Fax # Verified by: C. Van Horn

#Pages (incl. this) 19

Our File No.

05725.0932-00000

Confirmation Copy to Follow: No

## Message:

CEVH/clw

CERTIFICATE OF TRANSMISSION UNDER 37 C.F.R § 1.8

I hereby certify that the attached papers, "Petition Under 37 C.F.R. § 1.181, Letter and attachments," are being transmitted by facsimile to the U.S. Patent and Trademark Office on the above-identified date.

RECEIVED

1 5 APR 2003

Legal Staff International Division Charles E Van Horn

Reg. No. 40,266

If there is a problem with this transmission, notify fax room at (202) 408-4174 or the sender at the number above.

This facsimile is intended only for the individual to whom it is addressed and may contain inf rmation that is privileged, confidential, or exempt from disclosure under applicable law. If you have received this facsimil in error, please notify the sender immediately by t tephon (collect), and return the original message by first-class mail to the above address.

**BOX PCT LEGAL** 

PATENT

Customer No. 22,852

Attorney Docket No. 05725.0932-00000

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re National Stage of International Application No. )
PCT/FR01/00229 of:

Véronique FERRARI

) Group Art Unit: unassigned
)
Examiner: unassigned

PCT Filed: January 24, 2001

Application No.: 09/937,314

National Stage Entry: September 24, 2001

For: A TRANSFER-FREE COMPOSITION COMPRISING AT LEAST ONE FATTY PHASE THAT IS STRUCTURED WITH AT

LEAST ONE POLYMER

Commissioner for Patents Washington, DC 20231

ATTN: BOX PCT LEGAL

Sir:

## LETTER

On September 24, 2001, a National Stage Application of International Application No. PCT/FR01/00229 was filed under 35 U.S.C. § 371 with the Patent and Trademark Office (PTO). This application was filed with a Transmittal Letter, English translation of International Application No. PCT/FR01/00229 and a copy of the cover page of WIPO Publication No. WO 01/52799 A1. On December 6, 2001, a Transmittal Letter, an executed French Language Declaration and a check for \$130.00 were filed with th

FINNEGAN HENDERSON FARABOW GARRETT & DUNNERLL

1300 I Street, NW Washington, DC 20005 202,408,4000 Fax 202,408,4400 www.finnegan.com

Attorney Docket No. 05725.0932-00000 Custom r No.: 22,852

PTO, as were an Information Disclosure Statement, Form 1449 and a copy of the International Search Report issued in International Application No. PCT/FR01/00229.

A Notification of Missing Requirements Under 35 U.S.C. 371 in the United States
Designated/Elected Office (DO/EO/US) was mailed by the PTO on January 17, 2002.
This Notification indicated that an Oath or declaration of the inventors had to be furnished in order to complete the requirements for acceptance under 35 U.S.C. 371.

On April 16, 2002, a Response to Notification of Missing Requirements under 35 U.S.C. 371 was filed with the PTO, along with copies of the Notification of Missing Requirements, the Transmittal Letter, the executed French Language Declaration, and check number 054963 as well as a copy of the date stamped postcard receipt evidencing their previous filing on December 6, 2001.

On April 10, 2003, we contacted the Office about the status of this application and were informed that it has no record of receipt of the Response to Notification of Missing Requirements. Accordingly, Applicant submits herewith a Petition Under 37 C.F.R. 1.181 along with a copy of the papers filed and a copy of the date stamped postcard receipt evidencing their filing.

In view of the fact that the Declaration was submitted twice in this application, and Applicant timely responded to the Notification of Missing Requirements and paid the required surcharge, all of the informalities of this application should be completed. Accordingly, Applicant herein requests that the Office process the above-mentioned National Stage Application papers filed with the Office on December 6, 2001, and resubmitted herewith.

FINNEGAN HENDERSON FARABOW GARRETT & DUNNERLY

1300 I Street, NW Washington, DC 20005 202.408.4000 Fax 202.408.4400 www.flonegan.com

lication No. 09/937,314 Attorney Docket No. 05725.0932-00000 Customer No.: 22,852

Please grant any extensions of time required to enter this letter and charge any additional required fees to our deposit account 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Dated: April 15, 2003

Charles E. Van Horn Reg. No. 40,266

FINNEGAN HENDERSON FARABOW GARRETT & DUNNERLLE

1300 I Street, NW Washington, DC 2000S 202.408.4000 Fax 202.408.4400 www.finnegan.com

## 5725: 0932

## PLEASE STAMP TO ACKNOWLEDGE RECEIPT OF THE FOLLOWING:

National Stage of International Application No. PCT/FR01/00229 under 35 U.S.C. 371

In re Application of: Véronique FERRARI

Application No.: 09/937,314

Filed: September 24, 2001

Examiner: Unassigned FOR: A TRANSFER-FREE COMPOSITION COMPRISING AT LEAST ONE FATTY PHASE THAT

IS STRUCTURED WITH A LEAST ONE POLYMER (As Amended)

PAPERS FILED:

1. Response to Notification of Missing Requirements/copy of Notification of Missing Requirements (2 pgs.)/copy of Transmittal Letter (2 pgs.)/copy of executed French Language Declaration (4 pgs.)/copy of date stamped postcard receipt/copy of Check No. 054963

Dated:

April 16, 2002

Client N .: 05725.0932-00000

Attorney: A. Vance/P. Gebhardtsbauer - MD# 930

DUE DATE: APRIL 17, 2002

Group Art Unit: Unassigned

Cust m rN .:

PATENT 22852

Attorney Docket No. 05725.0932-00

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re National Stage of International Application No. PCT/FR01/00229 of:	) )
Véronique FERRARI	) )
Application No.: 09/937,314	) )   Group Art Unit:    Unassigned
Filed: September 24, 2001	) ) Examiner: Unassigned
For: A TRANSFER-FREE COMPOSITION COMPRISING AT LEAST ONE FATTY PHASE THAT IS STRUCTURED WITH AT LEAST ONE POLYMER (As Amended)	) ) ) )

# RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371

#### **Box PCT**

Commissioner for Patents and Trademarks Washington, D.C. 20231

**Attention: Box Missing Parts** 

Sir:

In response to the Notification of Missing Requirements mailed January 17, 2002, Applicant submits an identical copy of the Transmittal Letter and executed Declaration/Power of Attorney that were filed with the U.S. Patent and Trademark Office on December 6, 2001. A copy of the date-stamped postcard confirming that

FINNECAN HENDERSON FARABOW GARRETT & DUNNER U

1300 I Street, NW Washington, DC 20005 202,408,4000 Fax 202,408,4400 www.finnegan.com

Attorn y Docket N . 05725.0932-00 Applicati n N . 09/937,314

the Transmittal Letter and executed Declaration/Power of Attorney were filed on December 6, 2001, is also submitted.

Since the executed Declaration/Power of Attorney filed on December 6, 2001 was accompanied by a check in the amount of \$130.00, a copy of which is encl sed herewith, the required surcharge fee of \$130.00 is not being submitted with this response. Moreover, Applicant does not believe any extension of time is necessary since there were no missing requirements as of the date of the Notification of Missing Requirements (i.e., January 17, 2002).

Please grant any extensions of time required to enter this response and charge any additional required fees to our deposit account 06-0916.

Respectfully submitted.

FINNEGAN, HENDERSON, FARABOW. GARRETT & DUNNER, L.L.P.

By:

Reg. No. 45,174

Dated: April 16, 2002

FINNEGAN HENDERSON WOBARAT GARRETT& DUNNER LL

1300 I Street, NW Washington, DC 20005 202.4 8,4000 Fax 202,408,4400 www.finnegan.com

PRIORITY OATE

01/24/2000



### UNITED STATES PATERT AND TRADEMARK OFFICE

Commission for Polenics, Nov. PCT United Scotts Palent and Timeshark (Mice Washington, IJC, 2021)

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY, DOCKET NO	
09/937,314	Veronique FERRARI	05725.0932	
	INT	ERNATIONAL APPLICATION NO.	

The state of the s

Ernest F. Chapman
Finnegan Henderson Farabow Garrett & Dunner
1300 I Street, N.W.
Washington, DC 20005-3315

mar in the second of the secon

CONFIRMATION NO. 8380
371 FORMALITIES LETTER
CCCCCCCCCCCC7301991

PCT/FR01/00229

I.A. FILINO DATE

01/24/2001

Date Mailed: 01/17/2002

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

PROCESSES LEE

The following items have been submitted by the applicant or the IB to the United States Patent end Trademark Office as a Designated Office (37 CFR 1.494):

- U.S. Basic National Fees
- Priority Document
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report

The following items MUST be furnished within the period set forth below in order to complete the regularements for acceptance under 35 U.S.C. 371:

- Oeth or declaration of the Inventors, in compiliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 20 months months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:





Applicant is reminded that any communications to the United States Patent and Trademerk Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

FRANCINE YOUNG

Telephone: (703) 305-3662

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	DITEDNIC TIONAL AND	
09/937,314	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET No.
***************************************	PCT/FR01/00229	05725 0932

Docketed 13-02 Attorney ILI-PAL

Cess: 5735-932

Due Date 3-17-02 (144

Action Docketed 13-03 (144

Action Dockete

					<del></del>				
FORM PT REV. 2/01	T		PARTMENT	MML	PATENT AND TRADEMARK OFFICE		A SY'S DOCKET NUMBER		
TRANSMITTAL LETTER TO THE UNITED STATES 05725.0932-00000									
	DES	IGNA?	red/ele	CTE	D OFFICE (DO/EO/US)		U.S. APPLICATION NO.		
					G UNDER 35 U.S.C. 371		(II known, see 37CFR1.5)		
INTERN	MOTTAN	L APPL	ICATION NO	O.	INTERNATIONAL FILING DA	TE	PRIORITY DATE CLAIMED		
L	R03/00229				January 24, 2001		January 24, 2000		
	of invi sfer-r			POSIT	ION STRUCTURED IN RIGII	D FORM	BY A POLYMER		
	CANT(S		OO/EO/US						
Applica	nı(s) herev	•				-	e following items and other information:		
1.					of items concerning a filing under 3				
2.	20				SEQUENT submission of items con				
3.		This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.							
4.		The US	has been ele	cted by	the expiration of 19 months from the	priority d	late (Article 31).		
5.		А сору	of the Interns	ational .	Application as filed (35 U.S.C. 371 (	c)(2)).			
		a.	D i	s anach	ed hereto (required only if not comm	unicated b	by the International Bureau.		
•		ъ.	D h	nas beer	communicated by the International	Burcau.			
	_	c.	☐ i:	s not re	quired, as the application was filed w	rith the Un	nited States Receiving Office (RO/US).		
6.		An Eng			tion of the International Application	as filed (3	5 U.S.C. 371 (e)(2)).		
		a.		s attach	ed hereto.				
	_	b.			previously submitted under 35 U.S.				
7.		Amend			fthe International Application under				
		<b>a</b> .			hed hereto (required only if not com		by the International Bureau).		
Į		ь.			n communicated by the internations				
í		c.	□ h	Jave no	been made; however, the time limit	for makin	g such amendments has NOT expired.		
۱.	_	d.			been made and will not be made.				
8.		An Eng	itsh language	transla	tion of the amendments to the claims	s under PC	T Aπicle 19 (35 U.S.C. 371 (e)(3)).		
9.	8				inventor(s) (35 U.S.C. 371 (c)(4)).				
10.		An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).							
Items 11 to 20 below concern document(s) or information included:									
11.	2	Informa	ition Disclosu	ire State	ement under 37 CFR 1.97 and 1.98		•		
12.	0	An assig	gnment docur d.	ment fo	recording. A separate cover sheet i	in complia	nce with 37 CFR 3.28 and 3.31 is		
13.		A FIRST preliminary amendment.							
14.		A SECOND or SUBSEQUENT preliminary amendment.							
15.		A Substitute specification.							
16.		A change of power of anomey and/or address lener.							
17.		A computer-readable form of the sequence listing in accordance with PCT Rule 13ter 2 and 35 U.S.C. 1, 821-1,825							
18.		A second copy of the published international application under 35 U.S.C. 154 (d)(4).							
19.		A second copy of the English language translation of the international application 35 U.S.C. 154 (d)(4)							
20.	8	Other it	ents or inform	nation:		• • •			
		<b>a</b> .		Copy of	cover page of International Publicati	ion No. W	0		
		ь.		Copy of	Notification of Missing Requiremen	ıls.			
ľ		c.							
L									

U.S. APPLICATION NO. (If tempore, see 37C)  INTERNATIONAL APPLICATION NO.  PCT/FR01/00229				ATTORNEY'S DOCKET NUMBER 05725.0932-00000		
21.  The foll wing fees are submitted:					CALCULATIONS PTO USE ONLY	
BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):						
nor international se	nal preliminary examinati n earch fee (37 CFR 1.445(a) Search Report not prepared	(2)) paid to USPTO	\$1000.00			
	minary examination fee (37 ational Scarch Report prepa		\$860.00	}		
	ninary examination fee (37 ational Search fee (37 CFR		PTO \$710.00			
International prelif but all claims did r	ninary examination fee (37 not satisfy provisions of PC	CFR 1.482) paid to US T Article 33(1)-(4)	PTO <b>5</b> 690.00	-		
International prelim USPTOand all clai	minary examination fec (37 ms satisfied provisions of P	CFR 1.482) paid to CT Article 33 (1)-(4)	\$100.00			
		ENTER APPROPI	RIATE BASIC FEE AMOUNT =	S	T	
Surcharge of \$130. months from the ea	00 for furnishing the oath outliest claimed priority date	or declaration later than (37 CFR 1.492 (e)).	⊠ 20 □ 30	\$ 130.00		
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE			
Total Claims	- 20 ~		x \$18,00	5	<del></del>	
Independent Claims	-3=		× 280.00	S		
MULTIPLE DEPEN	DENT CLAIM(S) (if applicabl	ε)	+\$270,00	S		
total of the above calculations =						
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/4.						
			SUBTOTAL =	\$ 130,00		
Processing fee of 5 months from the ea	130.00 for furnishing the El rliest priority date (37 CFR	nglish translation later ti		\$		
			TOTAL NATIONAL FEE =	\$ 130.00		
Fee for recording the an appropriate cover	ne enclosed assignment (37 er sheet (37 CFR 3.28, 3.31)	CFR 1.21 (h)). The ass ). \$40.00 per property.	rignment must be accompanied by	s		
			TOTAL FEES ENCLOSED =	S 130.00		
				Amount to be refunded:	\$	
				charged:	S	
a. S A check	k in the amount of \$ 130	0.00 to cover the abo	ve fee is enclosed.			
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.						
		v anbiteate cobb c	itional fees which may be required, of this sheet is enclosed.			
d.  Fees an inform	e to be charged to a credit c ation should not be includ	ard WADNING, Inc.	rmation on this form may become p ide credit card information and auth	oublic. Credit car orization on PTO	r <b>d</b> -2038.	
NOTE: Where an a		37 CFR 1 494 or 1 405	has not been met, a petition to revi			
SEND ALL CORI	RESPONDENCE TO:					
Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P. Mulull Brach. 1300 I Street, N.W.						
Washington, D.C. 20005-3315  Michele C. Bosch/Registration No.						
DATED: Decembe	p A					

5725.0932

TELAX

## PLEASE STAMP TO ACKNOWLEDGE RECEIPT OF THE FOLLOWING:

National Stage of International Application No. PCT/FR01/00229 under 35 U.S.C. 371

In r Application of: Véronque FERRARI

Serial No.: Unassigned

Fii d: September 24, 2001

Examiner: Unassigned FOR: TRANSFER-RESISTANT COMPOSITION STRUCTURED IN RIGID FORM BY A POLYMER

PAPERS FILED:

Transmittal Letter (2 pgs.)/executed French Language Declaration (4 pgs.)/ check for \$130.00

2. Information Disclosure Statement Under 37 C.F.R. § 1.97(b) (3 pgs.)/Form PTO 1449 (2 pgs.)/Int'l. Search Report (6 pgs.)/Documents (19)

Dated: December 6, 2001 Client N .: 05725.0932-00000

Att rn y: M. Bosch/P. Gebhardtsbauer - MD# 930

DUE DATE: NO DUE DATE

Group Art Unit: Unassigned

ひん はな づ